CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8 BRINKS I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, HOFER on the below date: Date: \_July 9, 2004 Signature: GILSON Name: <u>Heidi A. Dare</u> &LIONE IN THE UNITED STATES PATENT AND TRADEMARK OFFICE In re Appln. of: Trettin et al. Appln. No.: 10/629,959 Examiner: Not Yet Assigned Filed: July 30, 2003 Art Unit: 3722 For: LOCKSET DRILLING GUIDE Attorney Docket No: 5658/934 Commissioner for Patents P. O. Box 1450 TRANSMITTAL Alexandria, VA 22313-1450 Sir: Attached is/are: Transmittal Letter (in duplicate); First Supplemental Information Disclosure Statement (in duplicate); Form PTO 1449; and  $\boxtimes$ Return Receipt Postcard Fee calculation:  $\boxtimes$ No additional fee is required. Small Entity. An extension fee in an amount of \$\_\_\_\_\_ for a \_\_\_\_-month extension of time under 37 C.F.R. § 1.136(a). A petition or processing fee in an amount of \$\_\_\_\_ under 37 C.F.R. § 1.17(\_\_\_\_). An additional filing fee has been calculated as shown below: Small Entity Not a Small Entity Claims Remaining Highest No. Present Previously Paid For After Amendment Extra Rate Add'I Fee or Rate Add'I Fee Total Minus x \$9= x \$18= Indep. Minus x 43= x \$86= First Presentation of Multiple Dep. Claim +\$145= + \$290= Total Total Fee payment: A check in the amount of \$\_\_\_\_ is enclosed. Please charge Deposit Account No. 23-1925 in the amount of \$ . A copy of this Transmittal is enclosed for this purpose. Payment by credit card in the amount of \$\_\_\_\_\_ (Form PTO-2038 is attached). The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16  $\boxtimes$ and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 23-1925. Respectfully submitted.

Heidi A. Dare (Reg. No. 50,775)

### CERTIFICATE OF MAILING

Date of Signature

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope, with sufficient postage, addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

July 9, 2004

Date of Deposit

Heidi A. Dare, Reg. No. 50,775

Name of Applicant, Assignee or Registered Representative

Signature

July 9, 2004

Our Case No.: 5658/934

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Trettin et al.

Serial No.: 10/629,959

Filing Date: July 30, 2003

For: LOCKSET DRILLING GUIDE

**Examiner: Not Yet Assigned** 

Group Art Unit No.: 3722

# FIRST SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this FIRST Supplemental Information Disclosure Statement be entered and the documents listed below and on the attached Form PTO-1449 be considered by the Examiner and made of record. Copies of the listed documents required by 37 C.F.R. § 1.98(a)(2) are enclosed for the convenience of the Examiner.

The references now cited are the following:

No.	Date	Name
6,390,738 B1	May 21, 2002	Fridman
6,186,708 B1	February 13, 2001	Fridman
5,915,891	June 29, 1999	Fridman

In accordance with 37 C.F.R. § 1.97(g),(h), this FIRST Supplemental Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed to be an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

This FIRST Supplemental Information Disclosure Statement is being filed prior to the receipt of the first Official Action reflecting an examination on the merits and hence is believed to be timely filed in accordance with 37 C.F.R. § 1.97(b). No fees are believed to be due in connection with filing of this FIRST Supplemental Information Disclosure Statement, however, should any fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to these material, the Commissioner is hereby authorized to deduct said fees from Brinks Hofer Gilson & Lione Deposit Account No. 23-1925. A duplicate copy of this document is enclosed.

Applicant(s) respectfully request that the listed documents be made of record in the present case.

Respectfully submitted,

Heidi A. Dare

Registration No. 50,775 Attorney for Applicant(s)

BRINKS HOFER GILSON & LIONE P.O. Box 10395 Chicago, IL 60610 (312) 321-4200

JUL 1 2 2004 5			
EARNA 1449	SERIAL NO.	10/629,959	CASE NO. 5658/934
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	FILING DATE	uly 30, 2003	GROUP ART UNIT 3722
(use several sheets if necessary)	APPLICANT(S): Tr	ettin et al.	

### REFERENCE DESIGNATION

#### U.S. PATENT DOCUMENTS

REFERENCE DESIGNATION U.S. PATENT DOCUMENTS						
EXAMINER INITIAL		DOCUMENT NUMBER Number-Kind Code (if known)	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
	B1	6,390,738 B1	05/21/2002	Fridman		
	B2	6,186,708 B1	02/13/2001	Fridman		
	B3	5,915,891	06/29/1999	Fridman		
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## **FOREIGN PATENT DOCUMENTS**

TOTALION FATER DOCUMENTS					
EXAMINER	DOCUMENT			CLASS/	TRANSLATION
INITIAL	NUMBER	DATE	COUNTRY	SUBCLASS	YES OR NO
	Number-Kind Code (if known)				
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EXAMINER INITIAL	OTHER ART – NON PATENT LITERATURE DOCUMENTS  (Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.			

EXAMINER	DATE CONSIDERED	
		•

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.